

STATE GAMING CONTROL BOARD

INSTRUCTIONS TO APPLICANTS FOR APPROVAL TO PARTICIPATE IN PARI-MUTUEL COMMISSIONS

AN APPLICATION REQUESTING APPROVAL TO PARTICIPATE IN PARI-MUTUEL COMMISSIONS IS SEEKING THE GRANTING OF A PRIVILEGE, AND THE BURDEN OF PROVING THE APPLICANT'S QUALIFICATIONS TO RECEIVE SUCH APPROVAL IS AT ALL TIMES ON THE APPLICANT. AN APPLICANT MUST ACCEPT ANY RISK OF ADVERSE PUBLIC NOTICE, EMBARRASSMENT, CRITICISM, OR OTHER ACTION, OR FINANCIAL LOSS WHICH MAY RESULT FROM ACTION WITH RESPECT TO THIS APPLICATION, AND EXPRESSLY WAIVES ANY CLAIM FOR DAMAGES AS A RESULT THEREOF.

THE FOLLOWING FORMS AND ITEMS MUST BE SUBMITTED TO THE STATE GAMING CONTROL BOARD:

1. Form 14: Application for Approval from the Nevada Gaming Authorities.
2. A copy of all federal, state, county, and local applications previously filed by the applicant. *(Include all attachments required by state, county, and city authorities.)*
3. Copies of all agreements with Nevada Race Books.
4. An affidavit signed by the applicant indicating its intentions to comply with all provisions of Regulation 26A.060 of the Nevada Gaming Commission and State Gaming Control Board.
5. A check, money order, or cash in the amount of \$500 per applicant, to cover the initial application fee. Checks are to be made payable to the State Gaming Control Board. Any additional costs of investigation will be charged as provided by statute and regulation.
6. The total cost of the investigation is to be borne by the applicant. This cost includes all transportation, food and lodging; plus an hourly charge for the time expended by the agent or agents assigned to the application. Before the investigation can begin the applicant must provide a deposit in an amount equal to the total estimated expenses, including the hourly charges.
7. Two copies of each of the following:
 - (a) Partnership Agreement;
 - (b) Trust Agreement;
 - (c) Joint Venture Agreement;
 - (d) Articles of Incorporation/Organization;
 - (e) Lease Agreement;
 - (f) Purchase/Sale Agreement
 - (g) Management Agreement;
 - (h) Employment Contract;
 - (i) Stock bonus or profit sharing plans.
8. A complete description of the totalizator system used at the track.
9. A complete list of all stockholders and/or partners showing the number of shares and/or interest held by each (include dates of birth and social security numbers). If ownership is in a publicly traded corporation, list only those people holding five percent or more of the stock.
10. If the applicant has been in business for three or more fiscal years, balance sheets and profit and loss statements for the past three fiscal years, must be submitted to the Board. If the applicant has not been in existence for the past three fiscal years, such statements covering the period from the creation of the business to the present must be submitted.

NOTICE

**AN APPLICATION MAY NOT BE WITHDRAWN WITHOUT THE
PERMISSION OF THE STATE GAMING CONTROL BOARD**